

ARCHIVE: Doc#2016-300-027C Amendment by Board Member Sean Sullivan
to Yellow Folder Doc#2016-300-027C
YELLOW FOLDER: Doc#2016-300-027 OTD FY2017 Annual Work Plan
YELLOW FOLDER AMENDMENT #1 BY BOARD MEMBER SULLIVAN

On page 20, revise Figure 13, “Breakdown of resource allocation for PA” by replacing “TSIC” with “Procedures”.

On page 22, in Appendix A, “Project List”, replace the entry “TSIC/Technical Staff Internal Controls” with “Procedures/Technical Staff Procedures”.

Justification

“Procedures” is more apt considering the actual nature of the work (see the narrative on page 20 which reads, “The PA group will focus significant effort on technical staff procedures that are due for recertification in FY 2017. During this process, the procedure review team will evaluate the effectiveness and execution of these procedures and recommend improvements.”) Additionally, labeling the work effort as “Technical Staff Internal Controls” is confusing given that another category of work is labeled “Internal Controls”.

AFFIRMATION OF BOARD VOTING RECORD

**SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER
Doc#2016-300-027, FY17 OTD Work Plan**

Doc Control#2016-300-027C

The Board, with Board Member(s) Joyce L. Connery, Jessie H. Roberson, Sean Sullivan, Bruce Hamilton *approving*, Board Member(s) none *disapproving*, Board Member(s) Daniel J. Santos, *abstaining*, and Board Member(s) none *recusing*, have voted to approve the above document on September 22, 2016.

The votes were recorded as:

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING*	COMMENT	DATE
Joyce L. Connery	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	09/21/16
Jessie H. Roberson	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	09/022/16
Sean Sullivan	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	09/21/16
Daniel J. Santos	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	09/21/16
Bruce Hamilton	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	09/21/16

*Reason for Not Participating:

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Board Members.


Executive Secretary to the Board

Attachments:

1. Voting Summary
2. Board Member Vote Sheets

cc: Board Members
OGC
OGM Records Officer
OTD

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Joyce L. Connery

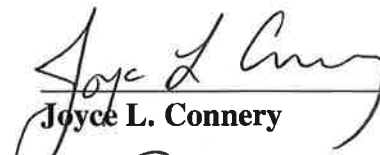
SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER
Doc#2016-300-027, FY17 OTD Work Plan

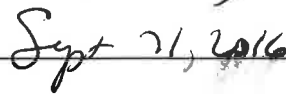
Doc Control#2016-300-027C

Approved **Disapproved** **Abstain**

Recusal - Not Participating

COMMENTS: **Below** **Attached** **None**



Joyce L. Connery


Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET


FROM: Jessie H. Roberson

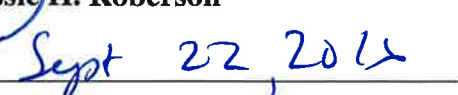
SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER
Doc#2016-300-027, FY17 OTD Work Plan

Doc Control#2016-300-027C

Approved **Disapproved** _____ **Abstain** _____
Recusal - Not Participating _____

COMMENTS: **Below** _____ **Attached** _____ **None**



Jessie H. Roberson


Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Sean Sullivan

SUBJECT: Amendment by Board Member Sean Sullivan to **YELLOW FOLDER**
Doc#2016-300-027, FY17 OTD Work Plan

Doc Control#2016-300-027C

Approved X **Disapproved** **Abstain**
Recusal – Not Participating

COMMENTS: **Below** X **Attached** **None**

The amendment merely seeks clarity by substituting “procedures” for the acronym “TSIC” on Figure 13 of the proposed work plan, titled “Breakdown of resources for PA.”

“TSIC” stands for technical staff internal controls. The acronym was created several years ago to describe a long term effort to implement internal controls. The effort first required the development of procedures against which practices could be audited. Resource planning now separately accounts for the work to perform the audits and the work to further develop and refine procedures. The former is accounted for under the work item “internal controls.” For the latter, discontinuing “TSIC” in favor of “procedures” provides a more apt description of the focus of the planned work.



Sean Sullivan

9/21/16

Date

Lotus Smith

From: Daniel J. Santos
Sent: Wednesday, September 21, 2016 1:42 PM
To: Lotus Smith; Shelby Qualls
Subject: RE: Notational Vote: Doc#2016-300-027C Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2016-300-027, FY17 OTD Work Plan

Abstain.

From: Lotus Smith
Sent: Wednesday, September 21, 2016 1:27 PM
To: Bruce Hamilton <[REDACTED]>; Daniel J. Santos <[REDACTED]>; Jessie Roberson <[REDACTED]>; Joyce Connery <[REDACTED]>; Sean Sullivan <[REDACTED]>
Cc: ExSec <[REDACTED]>
Subject: Notational Vote: Doc#2016-300-027C Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2016-300-027, FY17 OTD Work Plan

This email is an electronic record of Notational Vote. Voting ballot will follow shortly. Also, accepting electronic votes.

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET**

FROM: Members of the Board
SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER Doc#2016-300-027, FY17 OTD Work Plan

DOC# 2016-300-027C

Office Directors have provided their input.

Approved _____
Disapproved _____
Abstain _____
Recusal – Not Participating _____

COMMENTS:

Below _____
Attached _____
None _____

Lotus Smith
Executive Secretary
Office of the Chairman
Defense Nuclear Facilities Safety Board
625 Indiana Ave, NW, STE 700
Washington, DC 20004

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Bruce Hamilton

SUBJECT: Amendment by Board Member Sean Sullivan to YELLOW FOLDER
Doc#2016-300-027, FY17 OTD Work Plan

Doc Control#2016-300-027C

Approved Disapproved _____ Abstain _____

Recusal - Not Participating _____

COMMENTS: Below _____ Attached _____ None


Bruce Hamilton

21 SEPT 2016
Date