

REQUEST FOR BOARD ACTION BY A BOARD MEMBER

Requester: Daniel J. Santos May 21, 2018

Brief description of Requested Action:

The Board approves and issues the attached new policy statement #7. This policy becomes effective immediately following approval of this action.

Attachments (init) 1 (included clean version of any proposed document or modified document)

Summarize any time sensitive considerations:

Requestor signature signature on file May 21, 2018

Executive secretary signature on file May 21, 2018

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING	COMMENT	DATE
Bruce Hamilton	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Jessie H. Roberson	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Daniel J. Santos	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Joyce L. Connery	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Final Disposition Summary						

Executive Secretary signature _____ [Click here to enter a date.](#)

Defense Nuclear Facilities Safety Board

Washington, DC 20004

Policy Statement

PS-7

Date: May XX, 2018

Subject

Policy Statement on
communication and disposition of
safety items.

Summary

This policy statement establishes
aspects of the Defense Nuclear
Facilities Safety Board
communication and disposition of
safety items.

Bruce Hamilton
Acting Chairman

I. Background

The mission of the Defense Nuclear Facilities Safety Board is to provide independent analysis, advice, and recommendations to the Secretary of Energy to inform the Secretary, in the role of the Secretary as operator and regulator of the defense nuclear facilities of the Department of Energy (DOE), in providing adequate protection of public health and safety at such defense nuclear facilities. This policy establishes certain aspects of the Board's communication and disposition of safety items to better enable the execution of the Board's functions consistent with its mission.

II. Definitions

Potential Safety item—anything that may become a safety item.

Safety item—any type of nuclear safety deficiency (i.e., Safety Observations, Safety issue, or Issue of Adequate Protection).

Safety issue—a safety item for which the Board requires additional information to assess whether it could challenge adequate protection of public health and safety.

Issue of Adequate Protection—safety item where the Board recommends corrective actions to ensure adequate protection of public health and safety.

III. Policy

- All potential safety items shall be communicated and made visible to the Board. If a potential safety item involves an immediate hazard in the field, communications to the Board and a DOE representative shall occur concurrently, if possible.
- All potential safety items shall be processed. This process shall support the Board's determination of safety items and shall be limited to the collection and evaluation of information, the development of the technical basis, and the development of a recommended course of action for consideration by the Board. This safety determination by the Board shall be based on the summation of the evidence gathered, independent technical analysis, and sound technical basis.
- The Board determines what constitutes a safety item for the agency.
- Each safety item shall be tracked, communicated to DOE, and dispositioned following a documented and formal process involving the Board. No suggestion (implicitly or explicitly) shall be made for DOE and DOE contractors to take any actions outside this formal process.
- A summary of all safety items, their status, and Board disposition shall be communicated as part of the annual report to Congress for the applicable reporting period.

AFFIRMATION OF BOARD VOTING RECORD

SUBJECT: RFBA by Board Member Santos to Approve and issue the attached new Policy Statement-7

Doc Control#2018-300-058

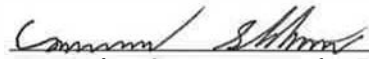
The Board, with Board Member(s) Jessie H. Roberson, Daniel J. Santos, Joyce L. Connery *approving*, Board Member(s) none *disapproving*, Board Member(s) Bruce Hamilton *abstaining*, and Board Member(s) none *not participating*, has voted to approve the above document on May 22, 2018.

The votes were recorded as:

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIPATING*	COMMENT	DATE
Bruce Hamilton	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	05/22/18
Jessie H. Roberson	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	05/22/18
Daniel J. Santos	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	05/22/18
Joyce L. Connery	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	05/21/18

*Reason for Not Participating:

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Board Members.


Executive Secretary to the Board

Attachments:

1. Voting Summary
2. Board Member Vote Sheets

cc: Board Members
OGC
OGM Records Officer
OTD

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Bruce Hamilton

SUBJECT: RFBA by Board Member Santos to Approve and issue the attached new
Policy Statement-7

Doc Control#2018-300-058

Approved _____ Disapproved _____ Abstain X

Recusal – Not Participating _____

COMMENTS: Below X Attached _____ None _____

The value proposition of the proposed Policy Statement being unclear, I defer to the wisdom and preferences of my fellow Board Members.

I therefore abstain.


Bruce Hamilton

22 MAY 2018
Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Jessie H. Roberson

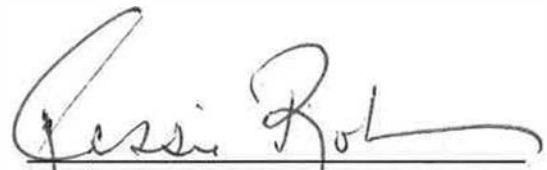
SUBJECT: RFBA by Board Member Santos to Approve and issue the attached new
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Approved Disapproved _____ Abstain _____
Recusal - Not Participating _____

COMMENTS: Below Attached _____ None _____

The Board should issue formal Policies that establishes its vision for how it will fulfill all of the specific statutory functions assigned to it.



Jessie H. Roberson
May 22, 2018

Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET


FROM: Daniel J. Santos

**SUBJECT: RFBA by Board Member Santos to Approve and issue the attached new
Policy Statement-7**

Doc Control#2018-300-058

Approved X Disapproved _____ Abstain _____
Recusal – Not Participating _____

COMMENTS: Below _____ Attached _____ None X



Daniel J. Santos

5/22/18

Date

DEFENSE NUCLEAR FACILITIES SAFETY BOARD
NOTATIONAL VOTE RESPONSE SHEET

FROM: Joyce L. Connery

SUBJECT: RFBA by Board Member Santos to Approve and issue the attached new
Policy Statement-7

Doc Control#2018-300-058

Approved X **Disapproved** **Abstain**

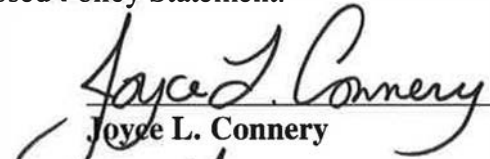
Recusal – Not Participating

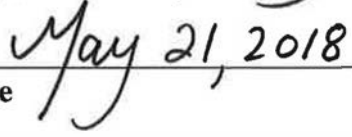
COMMENTS: **Below** X **Attached** **None**

I support this document as strategic guidance, but feel that it is important for the Board and the staff to discuss what this Policy Statement means and any impact it may have to staff procedures.

My interpretation of the Policy (which I have communicated to fellow Board Members) is that it codifies what is, in essence, already the Agency practice. To use a staff review as an example— a staff team performs a review; develops a list of observations that could be potential safety items; communicates with the sites a closeouts for clarification, additional information, etc; provides a preliminary brief to the Board; applies staff analysis to determine the significance of the item—i.e. whether it should be communicated as a safety issue to the Department, or can remain as an observation; and then proposes a course of action to the Board it terms of whether the staff believes it should be communicated and in what form. The Board then determines what constitutes a safety item for the Agency and how and whether it will communicate that item (based on the folder process). Additionally, Board Members can identify potential safety items and task the staff to evaluate as described in the Policy Statement, as well.

I did not see any staff comments on this proposed Policy Statement.



Joyce L. Connery


Date